SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Karlin Dan				Name <b>and</b> Ticker Medicine (M	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) C/O MIND MEDICINE (MINDMED), INC.				of Earliest Transacti 2022			x	Director Officer (give title below) Chief Mee	10% C Other below dical Officer	(specify		
ONE WORLD TRADE CENTER, SUITE 8500 (Street) NEW YORK NY 10007				endment, Date of Or	riginal Filed (Mc	nth/Day/Year)	6. Indiv X	idual or Joint/Group F Form filed by One Form filed by More	Reporting Person			
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1 Title of Security (Instr. 3)			2 Transaction	2A Deemed	3	4 Securities Acquired (A) o	r	5 Amount of	6 Ownership	7 Nature of		

1. The of Security (instr. 3)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	5. Transac Code (In 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		
Common Shares	09/26/2022		<b>S</b> <sup>(1)</sup>		565	D	\$5.51 <sup>(2)</sup>	267,118	D	
Common Shares	09/27/2022		<b>S</b> <sup>(3)</sup>		640	D	<b>\$5.87</b> <sup>(4)</sup>	266,478	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

## Explanation of Responses:

1. Common Shares sold to satisfy withholding tax obligations upon the delivery of Common Shares for restricted stock units that vested on September 24, 2022 pursuant to sell-to-cover elections.

2. The Common Shares were sold in one transaction at a price of \$7.60 CAD Dollars. This represents the price in United States Dollars using the conversion rate on September 26, 2022.

3. Common Shares sold to satisfy withholding tax obligations upon the delivery of Common Shares for restricted stock units that vested on September 26, 2022 pursuant to sell-to-cover elections.

4. The Common Shares were sold in one transaction at a price of \$8.06 CAD Dollars. This represents the price in United States Dollars using the conversion rate on September 27, 2022.

## Remarks:

/s/ Carrie Liao, Attorney-in-Fact 09/27/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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